

Physics 220, Lecture 15

★ Reference: Georgi chapters 6-8

- Recall example of  $SU(3)$  fundamental rep,  $T_a = \frac{1}{2}\lambda_a$ , Gell-Mann matrices, with  $\lambda_3 = \text{diag}(1, -1, 0)$  and  $\lambda_8 = \frac{1}{\sqrt{3}}(1, 1, -2)$ . (Normalize to  $\text{Tr}(T^a T^b) = \frac{1}{2}\delta^{ab}$ . Since a rep and conjugate rep have  $T_a$  and  $-T_a^*$  respectively, note  $\mathbf{3}$  and  $\bar{\mathbf{3}}$  differ.  $\lambda_{1,2}$  are Pauli matrices  $\sigma_{1,2}$  in the  $(1, 2)$  components,  $\lambda_{4,5}$  are  $\sigma_{1,2}$  in the  $(1, 3)$  entries, and  $\lambda_{6,7}$  are similar in the  $(2, 3)$  entries. So  $E_{\pm 1,0} = \frac{1}{\sqrt{2}}(T_1 \pm iT_2)$ ,  $E_{\pm 1/2, \pm\sqrt{3}/2} = \frac{1}{\sqrt{2}}(T_4 \pm iT_5)$ ,  $E_{\mp 1/2, \pm\sqrt{3}/2} = \frac{1}{\sqrt{2}}(T_6 \pm iT_7)$ .

- Last time: by considering the adjoint representation, write all the generators as the Cartan  $H_i$ ,  $i = 1 \dots r = \text{rank}(G)$ , and generators labeled by root vectors  $\alpha$ , such that  $[H_i, E_\alpha] = \alpha_i E_\alpha$ ,  $[E_\alpha, E_{-\alpha}] = \alpha \cdot H$ .

- Lots of  $SU(2)$ s: write  $E^\pm = |\alpha|^{-1} E_{\pm\alpha}$  and  $E_3 = |\alpha|^{-2} \alpha \cdot H$  to get an  $SU(2)$  subalgebra.

- Use to argue that if  $\alpha$  is a root then  $k\alpha$  is only a root if  $k = 0, 1, -1$ , and that there can only be a single root with any non-zero weight  $\alpha$ : another such root would have  $E_3|E'_\alpha\rangle = |E'_\alpha\rangle$ , but  $0 = \langle E_\alpha|E'_\alpha\rangle$  implies  $E^-|E'_\alpha\rangle = 0$ , so lowest weight, but on the other hand  $E_3|E'_\alpha\rangle = |E'_\alpha\rangle$  so like  $J_3 = 1$ . Impossible to have  $J_3 = 1$  lowest weight state. Likewise,  $k\alpha$  for any  $k$  integer or half integer is similarly impossible.

Also, for any rep,  $E_3|\mu, D\rangle = \frac{\alpha \cdot \mu}{\alpha \cdot \alpha} |\mu, D\rangle$ , so  $\frac{2\alpha \cdot \mu}{\alpha^2}$  is an integer. Can raise such a state using  $E^+$  at most some integer  $p$  times before getting zero, and can lower using  $E^-$  at most some integer  $q$  times before getting zero. Implies:

$$\frac{\alpha \cdot (\mu + p\alpha)}{\alpha^2} = \frac{\alpha \cdot \mu}{\alpha^2} + p = j, \quad \frac{\alpha \cdot \mu}{\alpha^2} - q = -j.$$

So

$$\frac{\alpha \cdot \mu}{\alpha^2} = -\frac{1}{2}(p - q). \tag{1}$$

Applying this to the adjoint representation for any two roots  $\alpha$  and  $\beta$ , conclude that

$$\cos^2 \theta_{\alpha\beta} = \frac{(\alpha \cdot \beta)^2}{\alpha^2 \beta^2} = \frac{(p - q)(p' - q')}{4}, \quad = 0, \frac{1}{4}, \frac{1}{2}, \frac{3}{4}.$$

and then  $\theta_{\alpha\beta}$  is either  $\pi/2$ ,  $\pi/6$  or  $2\pi/6$ ,  $\pi/4$  or  $3\pi/4$ ,  $\pi/6$  or  $5\pi/6$ .

- Highest weights: Chose a basis for the Cartan and call a weight positive or negative based on the sign of the first non-zero entry. Clearly arbitrary, but fine. Now say  $\mu > \nu$  if  $\mu - \nu$  is positive, and find one of the weights is highest in this ordering  $\mu_{max}$ . In adjoint,

the positive roots are raising operators and the negative roots are lowering, so the raising operators must annihilate  $\mu_{max}$ . Then can fill out the irrep by applying lowering operators to  $\mu_{max}$ .

- Simple roots: positive roots that can't be written as sums of other positive roots. If a weight is annihilated by all simple roots, it is  $\mu_{max}$ .

If  $\alpha$  and  $\beta$  are simple roots, then  $\alpha - \beta$  isn't a root. E.g. if  $\beta - \alpha > 0$  then  $\alpha + (\beta - \alpha) = \beta$  would violate the condition that  $\beta$  can't be a sum of two positive roots.

Therefore,  $E_{-\alpha}|E_\beta\rangle = E_{-\beta}|E_\alpha\rangle = 0$  so  $q = q' = 0$  in (1):

$$\frac{\alpha \cdot \beta}{\alpha^2} = -\frac{p}{2}, \quad \frac{\beta \cdot \alpha}{\beta^2} = -\frac{p'}{2}.$$

So  $\beta^2/\alpha^2 = p/p'$  and  $\cos \theta_{\alpha\beta} = -\sqrt{pp'}/2$ , which implies  $\frac{\pi}{2} \leq \theta_{\alpha\beta} < \pi$ .

Implies that the simple roots are linearly independent:  $\gamma = \sum_\alpha x_\alpha \alpha$  can't vanish unless all  $x_\alpha = 0$ . To try to get  $\gamma = 0$ , would need some  $x_\alpha$  positive and some negative, i.e.  $\gamma = \mu - \nu$  where  $\mu$  and  $\nu$  each have all positive coefficients. But then  $\gamma^2 > 0$  since all simple roots have  $\alpha \cdot \beta \leq 0$ .

The simple roots are linearly independent and also complete: the number of them equals the rank of the group. They form a basis for all roots. If not, there would be a vector  $\xi$  orthogonal to all the simple roots and that would imply that it's orthogonal to all roots and then that  $[\xi \cdot H, \phi] = 0$ , showing that  $\xi \cdot H$  commutes with everything, violating the assumption that our algebra is simple.

Any positive root is of the form  $\phi_k = \sum_\alpha k_\alpha \alpha$  where  $\alpha$  runs over the simple roots and all  $k_\alpha > 0$ . Here  $k = \sum_\alpha k_\alpha$ . So  $\phi_1$  are the simple roots. Given a  $\phi_\ell$ , consider  $E_\alpha|\phi_\ell\rangle$ . Since  $2\alpha \cdot \phi_\ell = -\alpha^2(p - q)$ , can determine  $q$  and check sign of  $p$ ;  $\phi_\ell + \alpha$  is a root iff  $p > 0$ . E.g. take  $\phi_1 = \beta$  a simple root and then note that if  $\alpha \cdot \beta = 0$  then  $\alpha + \beta$  is not a root, whereas if  $\alpha \cdot \beta = -p\alpha^2/2$  with  $p \neq 0$ , then  $p > 0$  and  $\alpha + \beta$  is a root,  $\alpha + \beta = \phi_2$ .

For  $SU(3)$ , the simple roots satisfy  $\alpha_1^2 = \alpha_2^2 = 1$ , and  $\alpha_1 \cdot \alpha_2 = -\frac{1}{2}$ . Follows that  $\alpha_1 + \alpha_2$  is a root, but adding any more  $\alpha_1$  or  $\alpha_2$ s gives something that's not a root.

- Dynkin diagrams: each simple root is written as a node, with 0,1,2,3 lines connecting nodes if the angle is 90, 120, 135, 150° respectively.

- Under the  $SU(2)$  associated with  $\alpha_i$ , any weight has  $E_3|\mu\rangle = \frac{2H \cdot \alpha_i}{\alpha_i^2}|\mu\rangle$  and the eigenvalue is  $2\mu \cdot \alpha_i/\alpha_i^2 = q_i - p_i$ . Can use  $q_i - p_i$  to label the weights.

The Cartan matrix for the simple roots is

$$A_{ji} \equiv 2 \frac{\alpha_i \cdot \alpha_j}{\alpha_i^2}$$

The diagonal entries are 2 and the off-diagonals are  $-p$ .

The  $j$ -th row give the  $q_i - p_i$  values of the simple root  $\alpha_i$ . Writing a positive root as  $\phi = \sum_j \alpha_j$ , it has  $q_i - p_i = \sum_j k_j A_{ji}$ . Raising  $\phi \rightarrow \phi + \alpha_j$  shifts  $q_i - p_i \rightarrow q_i - p_i + A_{ji}$ . At  $k = 0$  have the Cartan, with  $E_3 = q_i - p_i = 0$ . At  $k = 1$  have the simple roots. Continue raising / lowering until the diagram is complete.

Example:  $SU(3)$ , with  $A = \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix}$ , and  $G_2$  with  $A = \begin{pmatrix} 2 & -1 \\ -3 & 2 \end{pmatrix}$ .  $G_2$  has  $\alpha_1 = (0, 1)$  and  $\alpha_2 = (\sqrt{3}/2, -3/2)$  with  $\alpha_1^2 = 1$  and  $\alpha_2^2 = 3$  and  $\alpha_1 \cdot \alpha_2 = -3/2$ , so the angle between them is  $150^\circ$ . The raising operators are  $E_1^+ = E_{\alpha_1}$  and  $E_2^+ = \frac{1}{\sqrt{3}}E_{\alpha_2}$ . The positive roots are:  $\alpha_1 + \alpha_2, 2\alpha_1 + \alpha_2, 3\alpha_1 + \alpha_2, 3\alpha_1 + 2\alpha_2$ .

Can construct algebra, e.g.  $[[E_{\alpha_1}, E_{\alpha_2}] = \sqrt{\frac{3}{2}}E_{\alpha_1 + \alpha_2}]$  etc.