## Physics 212b, Ken Intriligator lecture 12, Feb 26, 2018

• Last times: time independent, a.k.a. stationary state perturbation theory, continued  $H = H_0 + H_1$ , with  $H_0 \sim \epsilon^0$  and  $H_1 \sim \epsilon^1$ , and that we can do an expansion order-by-order in the small parameter, making corrections to the  $H_0$  case. Recall  $E_{n,1} = \langle E_{n,0} | H_1 | E_{n,0} \rangle$  and

$$\langle E_{m \neq n,0} | E_{n,1} \rangle = \frac{1}{E_{n,0} - E_{m,0}} \langle E_{m \neq n,0} | H_1 | E_{n,0} \rangle, \qquad \langle E_{n,0} | E_{n,1} \rangle = 0,$$

where the last condition is by a choice of overall phase. So

$$|E_{n,1}\rangle = \sum_{m} \frac{|E_{m,0}\rangle\langle E_{m,0}|H_1|E_{n,0}\rangle}{E_{n,0} - E_{m,0}} = \frac{P_{n\perp}}{E_{n,0} - H_0}H_1|E_{n,0}\rangle$$

where  $\sum_{m}'$  means all states with  $E_{m,0} \neq E_{n,0}$  and  $P_{n\perp} \equiv 1 - |E_{n,0}\rangle \langle E_{n,0}|$ . Note that  $|E_{n,1}\rangle \equiv |n_1\rangle$  is not an eigenstate of either  $H_0$  or  $H_1$ ; it is the order  $\epsilon$  correction to the eigenstate of H. To second order

$$E_{n,2} = \langle E_{n,0} | H_1 | E_{n,1} \rangle = \sum_{m} \frac{\langle |\langle E_{m,0} | H_1 | E_{n,0} \rangle|^2}{E_{n,0} - E_{m,0}}.$$

Note that this is always negative for the ground state. To the above order we have the expected result

$$E_n = \langle n | H | n \rangle = (\langle n_0 | + \langle n_1 | + \ldots) (H_0 + H_1) (| n_0 \rangle + | n_1 \rangle + \ldots).$$

 $\langle n|n\rangle = \langle n_0|n_0\rangle = 1 \text{ gives } \langle n_0|n_2\rangle + \langle n_2|n_0\rangle + \langle n_1|n_1\rangle = 0, \text{ so } \langle n_2|H_0|n_0\rangle + \langle n_0|H_0|n_2\rangle + \langle n_1|H_0|n_1\rangle = \langle n_1|H_0 - E_{n,0}|n_1\rangle = -E_{n,2}, \text{ so to } \mathcal{O}(\epsilon^2) \text{ get } 2E_{n,2} - E_{n,2} = E_{n,2}.$ 

• Wave function renormalization:  $|n\rangle = |n_0\rangle + |n_1\rangle + \dots$  has  $\langle n|n\rangle \equiv Z_n^{-1} = 1 + \langle n_1|n_1\rangle + \dots$ , gives

$$Z_n = 1 - \sum_{m'} \frac{|\langle m_0 | H_1 | n_0 \rangle|^2}{(E_{n,0} - E_{m,0})^2} + \dots$$

The renormalized state is  $|\hat{n}\rangle = Z^{1/2}|n\rangle$ . Note that  $Z_n = |\langle n_0 | \hat{n} \rangle|^2$  is the probability of finding the *H* eigenstate  $|\bar{n}\rangle$  in the unperturbed  $H_0$  eigenstate  $|n_0\rangle$ ; so clearly  $Z_n < 1$ , as is clear also from the above. A general identity is

$$Z_n = \frac{\partial}{\partial E_{n,0}} (E_{n,0} + \langle n_0 | H_1 | n_0 \rangle + \sum_{m'} \frac{|\langle m_0 | H_1 | n_0 \rangle|^2}{E_{n,0} - E_{m,0}} + \ldots) = \frac{\partial E_n}{\partial E_{n,0}}$$

The fact that  $Z_n < 1$  for the ground state fits then with the fact that the second order perturbation is negative.

• Stark effect: put an atom in an external electric field, treating  $eE_0$  as a perturbation. Take  $H_1 = eE_0 z$  for an electric field along the  $\hat{z}$  axis (the electron charge here is -e). Then  $E_{n,1} = eE_0 \langle E_{n,0} | z | E_{n,0} \rangle$ , which is zero by parity symmetry if the state is non-degenerate (e.g. in the ground state of the hydrogen atom). To second order,

$$E_{n,2} = e^2 E_0^2 \sum_{m} \frac{\langle |\langle E_{m,0} | z | E_{n,0} \rangle|^2}{E_{n,0} - E_{m,0}}.$$

It follows from the Wigner-Eckart theorem that  $\langle n', \ell', m'|z|n, \ell, m \rangle \propto \delta_{m',m} \delta_{(\ell'-\ell)^2,1}$ . The second order shift can be understood as polarizing the system, and the change in energy is  $-\frac{1}{2}\alpha E_0^2$  (you'll check this in HW examples).

For degenerate states, there is generally an effect already at first order; we need to use degenerate perturbation theory.

• Degenerate perturbation theory: especially interesting case, where  $H_1$  splits the degenerate spectrum of  $H_0$ . Suppose the  $H_0$  eigenstates are  $|n_{0,k}\rangle$ , where k runs over the degenerate space of  $H_0$  eigenvectors with eigenvalue  $E_{n,0}$ , say k = 1...K. Now  $H_1$ 's matrix elements on this space of states is a  $K \times K$  matrix. If we naively apply the above expressions, we run into problems with the denominator of e.g.  $\langle E_{m\neq n,0}|E_{n,1}\rangle = \frac{1}{E_{n,0}-E_{m,0}}\langle E_{m\neq n,0}|H_1|E_{n,0}\rangle$  in the degenerate subspace. The solution is to diagonalize the  $H_1$  matrix elements on this space, so we get 0/0 instead of 1/0. Also, diagonalizing  $H_1$  in the degenerate space is needed for a smooth  $\epsilon \to 0$  limit, since for any  $\epsilon \to 0^+$  the states are not eigenstates unless they diagonalize  $H_1$ . The eigenvalues of the  $H_1$  matrix are the first order correction  $E_{n,1,k}$  values. The expression for  $|n, 1\rangle$  is similar to that in the non-degenerate case, where the  $\sum_m'$  is understood to be over states with  $E_{m,0} \neq E_{n,0}$ , i.e. excluding all of the states with energy  $E_{n,0}$ .

If some degeneracy remains at first order, one needs to diagonalize the matrix  $V_{n',n} + \sum_{m} V_{nm} V_{mn'} / (E_{n,0} - E_{m,0})$  where we take  $H_1 \to V$  to reduce index clutter.

• Stark effect for n = 2 states continued, if the state is e.g. initially in the  $|2S_0\rangle$  state, to first order in the small  $\vec{E}$  perturbation the energy is  $-(e^2/2a_0)(\frac{1}{4} \pm 6E_0/(e/a^2))$  with equal probability for the two cases. Note that  $e^2/a_0 = 5.15 \times 10^9 V/cm$  so the  $E_0$  just has to be small compared with that huge value for perturbation theory to be a good approximation.

Stark effect for n = 2 states.  $H_1$  is a  $4 \times 4$  matrix, with non-zero element  $\Delta = \langle 200|eEz|210\rangle = -3eEa_0$  and its transpose (Hermitian conjugate). This is diagonalized by  $(|200\rangle \pm |210\rangle)/\sqrt{2}$ , with eigenvalue  $\pm \Delta$ , along with  $|21 \pm 1\rangle$  with eigenvalue 0. The split energy eigenstates are not parity eigenstates.